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8 UNITED STATES DISTRICT COURT
9 WESTERN DISTRICT OF WASHINGTON
AT TACOMA

10 ARES D. STEVENS,

11 Plaintiff,

12 v.

13 MICHAEL J. ASTRUE, Commissioner
14 of the Social Security Administration,

15 Defendant.

CASE NO. 11cv5460-BHS-JRC

REPORT AND
RECOMMENDATION ON
PLAINTIFF'S COMPLAINT

Noting Date: April 6, 2012

16 This matter has been referred to United States Magistrate Judge J. Richard
17 Creatura pursuant to 28 U.S.C. § 636(b)(1) and Local Magistrate Judge Rule MJR
18 4(a)(4), and as authorized by Mathews, Secretary of H.E.W. v. Weber, 423 U.S. 261,
19 271-72 (1976). This matter has been fully briefed. (See ECF Nos. 16, 17, 18).
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21 This matter should be reversed and remanded as the ALJ failed to consider
22 properly the issue of whether or not Dr. Tina Shereen, M.D. was a treating physician and
23 also failed to provide specific and legitimate reasons to discount her opinions.
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Plaintiff applied for Disability Insurance Benefits and Supplemental Security Income within a filing month of December, 2007(Tr. 149-54). Plaintiff's applications were denied initially and following reconsideration (Tr. 88-94, 100-08). Plaintiff's requested hearing was held before Administrative Law Judge John Bauer ("the ALJ") on December 4, 2009 (Tr. 27-80, 110-11). On January 27, 2010, the ALJ issued a written decision in which he found that plaintiff was not disabled pursuant to the Social Security Act at any time since August 2, 2007 through the date of the decision (Tr. 13-26). On April 15, 2011, the Appeals Council denied plaintiff's request for review, making the written decision by the ALJ the final agency decision subject to judicial review (Tr. 1-4). See 20 C.F.R. § 404.981.

REPORT AND RECOMMENDATION ON PLAINTIFF'S COMPLAINT - 2

1 and (b) non-examining state agency psychologists Drs. Peterson and Mee (Opening Brief,
2 ECF No. 16, p. 1). Plaintiff also questions whether or not (3) substantial evidence
3 supports the ALJ's evaluation of plaintiff's sleep apnea; and, (4) whether or not the ALJ
4 complied with Social Security Ruling ("SSR") 00-4p regarding two of the three
5 occupations underlying the ALJ's step-five decision regarding other work (id.). Plaintiff
6 requests that this matter be reversed and remanded to the Commissioner for a new
7 hearing (id., p. 2).

8 STANDARD OF REVIEW

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10 Plaintiff bears the burden of proving disability within the meaning of the Social
11 Security Act (hereinafter "the Act"). Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir.
12 1999); see also Johnson v. Shalala, 60 F.3d 1428, 1432 (9th Cir. 1995). The Act defines
13 disability as the "inability to engage in any substantial gainful activity" due to a physical
14 or mental impairment "which can be expected to result in death or which has lasted, or
15 can be expected to last for a continuous period of not less than twelve months." 42 U.S.C.
16 §§ 423(d)(1)(A), 1382c(a)(3)(A). Plaintiff is disabled under the Act only if plaintiff's
17 impairments are of such severity that plaintiff is unable to do previous work, and cannot,
18 considering the plaintiff's age, education, and work experience, engage in any other
19 substantial gainful activity existing in the national economy. 42 U.S.C. §§ 423(d)(2)(A),
20 1382c(a)(3)(B); see also Tackett v. Apfel, 180 F.3d 1094, 1098-99 (9th Cir. 1999).

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22 Pursuant to 42 U.S.C. § 405(g), this Court may set aside the Commissioner's
23 denial of social security benefits if the ALJ's findings are based on legal error or not
24 supported by substantial evidence in the record as a whole. Bayliss v. Barnhart, 427 F.3d

1 1211, 1214 n.1 (9th Cir. 2005) (*citing* Tidwell v. Apfel, 161 F.3d 599, 601 (9th Cir.
2 1999)). “Substantial evidence” is more than a scintilla, less than a preponderance, and is
3 such ““relevant evidence as a reasonable mind might accept as adequate to support a
4 conclusion.”” Magallanes v. Bowen, 881 F.2d 747, 750 (9th Cir. 1989) (*quoting* Davis v.
5 Heckler, 868 F.2d 323, 325-26 (9th Cir. 1989)); *see also* Richardson v. Perales, 402 U.S.
6 389, 401 (1971). Regarding the question of whether or not substantial evidence supports
7 the findings by the ALJ, the Court should ““review the administrative record as a whole,
8 weighing both the evidence that supports and that which detracts from the ALJ’s
9 conclusion.”” Sandgate v. Chater, 108 F.3d 978, 980 (1996) (per curiam) (*quoting*
10 Andrews, supra, 53 F.3d at 1039). In addition, the Court ““must independently determine
11 whether the Commissioner’s decision is (1) free of legal error and (2) is supported by
12 substantial evidence.”” *See* Bruce v. Astrue, 557 F.3d 1113, 1115 (9th Cir. 2006) (*citing*
13 Moore v. Comm’r of the Soc. Sec. Admin., 278 F.3d 920, 924 (9th Cir. 2002)); Smolen
14 v. Chater, 80 F.3d 1273, 1279 (9th Cir. 1996).

16 According to the Ninth Circuit, “[l]ong-standing principles of administrative law
17 require us to review the ALJ’s decision based on the reasoning and actual findings
18 offered by the ALJ - - not *post hoc* rationalizations that attempt to intuit what the
19 adjudicator may have been thinking.” Bray v. Comm’r of SSA, 554 F.3d 1219, 1226-27
20 (9th Cir. 2009) (*citing* SEC v. Chenery Corp., 332 U.S. 194, 196 (1947) (other citation
21 omitted)); *see also* Stout v. Commissioner of Soc. Sec., 454 F.3d 1050, 1054 (9th Cir.
22 2006) (“we cannot affirm the decision of an agency on a ground that the agency did not
23 invoke in making its decision”) (citations omitted). In the context of social security
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1 appeals, legal errors committed by the ALJ may be considered harmless where the error
2 is irrelevant to the ultimate disability conclusion. Stout, supra, 454 F.3d at 1054-55
3 (reviewing legal errors found to be harmless).

4 DISCUSSION

5 1. The ALJ failed to evaluate properly the medical evidence.

6 “A treating physician’s medical opinion as to the nature and severity of an
7 individual’s impairment must be given controlling weight if that opinion is well-
8 supported and not inconsistent with the other substantial evidence in the case record.”
9 Edlund v. Massanari, 2001 Cal. Daily Op. Srv. 6849, 2001 U.S. App. LEXIS 17960 at
10 *14 (9th Cir. 2001) (*citing* SSR 96-2p, 1996 SSR LEXIS 9); see also 20 C.F.R. § 416.902
11 (treating physician is one who provides treatment and has “ongoing treatment
12 relationship” with claimant). The decision must “contain specific reasons for the weight
13 given to the treating source’s medical opinion, supported by the evidence in the case
14 record, and must be sufficiently specific to make clear to any subsequent reviewers the
15 weight the adjudicator gave to the [] opinion.” SSR 96-2p, 1996 SSR LEXIS 9. However,
16 “[t]he ALJ may disregard the treating physician’s opinion whether or not that opinion is
17 contradicted.” Batson v. Commissioner of Social Security Administration, 359 F.3d
18 1190, 1195 (9th Cir. 2004) (*quoting* Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir.
19 1989)).

20 The ALJ must provide “clear and convincing” reasons for rejecting the
21 uncontradicted opinion of either a treating or examining physician or psychologist.
22 Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1996) (*citing* Baxter v. Sullivan, 923 F.2d
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1 1391, 1396 (9th Cir. 1991); Pitzer v. Sullivan, 908 F.2d 502, 506 (9th Cir. 1990)). Even if
2 a treating or examining physician's opinion is contradicted, that opinion "can only be
3 rejected for specific and legitimate reasons that are supported by substantial evidence in
4 the record." Lester, supra, 81 F.3d at 830-31 (*citing* Andrews v. Shalala, 53 F.3d 1035,
5 1043 (9th Cir. 1995)). The ALJ can accomplish this by "setting out a detailed and
6 thorough summary of the facts and conflicting clinical evidence, stating his interpretation
7 thereof, and making findings." Reddick, supra, 157 F.3d at 725 (*citing* Magallanes v.
8 Bowen, 881 F.2d 747, 751 (9th Cir. 1989)).

10 In general, more weight is given to a treating medical source's opinion than to the
11 opinions of those who do not treat the claimant. Lester, supra, 81 F.3d at 830 (*citing*
12 Winans v. Bowen, 853 F.2d 643, 647 (9th Cir. 1987)). On the other hand, an ALJ need
13 not accept the opinion of a treating physician, if that opinion is brief, conclusory and
14 inadequately supported by clinical findings or by the record as a whole. Batson v.
15 Commissioner of Social Security Administration, 359 F.3d 1190, 1195 (9th Cir. 2004)
16 (*citing* Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001)); *see also* Thomas v.
17 Barnhart, 278 F.3d 947, 957 (9th Cir. 2002). An examining physician's opinion is
18 "entitled to greater weight than the opinion of a nonexamining physician." Lester, supra,
19 81 F.3d at 830 (citations omitted); *see also* 20 C.F.R. § 404.1527(d). A non-examining
20 physician's or psychologist's opinion may not constitute substantial evidence by itself
21 sufficient to justify the rejection of an opinion by an examining physician or
22 psychologist. Lester, supra, 81 F.3d at 831 (citations omitted). However, "it may
23 constitute substantial evidence when it is consistent with other independent evidence in
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1 the record.” Tonapetyan, supra, 242 F.3d at 1149 (*citing* Magallanes, supra, 881 F.2d at
2 752). “In order to discount the opinion of an examining physician in favor of the opinion
3 of a nonexamining medical advisor, the ALJ must set forth specific, *legitimate* reasons
4 that are supported by substantial evidence in the record.” Van Nguyen v. Chater, 100
5 F.3d 1462, 1466 (9th Cir. 1996) (*citing* Lester, supra, 81 F.3d at 831); see also 20 C.F.R.
6 § 404.1527(d)(2)(i) (when considering medical opinion evidence, the Commissioner will
7 consider the length and extent of the treatment relationship).

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9 a. Dr. Tina Shereen, M.D. (“Dr. Shereen”)

10 The ALJ mentions only the one evaluation provided by Dr. Shereen on October
11 16, 2007, specifying only that Dr. Shereen “commented that the claimant is ‘severely
12 limited due to psychiatric illness;’” that Dr. Shereen noted that plaintiff demonstrated
13 psychiatric symptoms that impaired his ability to interact in groups; and, that he would be
14 unemployable until he received mental health care (see Tr. 24). The ALJ failed to discuss
15 any of the other examinations of plaintiff by Dr. Shereen.

16 From a review of the record, it appears that Dr. Shereen examined plaintiff on six
17 occasions, including February 01, 2007 (Tr. 375-76); April 12, 2007(Tr. 373-74); July 17,
18 2007 (Tr. 369-70); August 15, 2007 (Tr. 367-68); September 24, 2007 (Tr. 365-66); and,
19 December 17, 2007 (Tr. 363-64). For example, on August 15, 2007, Dr. Shereen
20 evaluated plaintiff for his panic attacks, which she reported that he was having 3-4 times
21 a week (Tr. 367). She indicated that plaintiff reported experiencing panic “often around
22 driving,” and she indicated his report of having an accident in April, 2007 (id.). Dr.
23 Shereen also evaluated plaintiff on this occasion specifically for his dizziness, back pain
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1 and possible blood in his urine (id.). Dr. Shereen assessed that plaintiff's anxiety disorder
2 likely was "complicated by personality" disorder (Tr. 368). She indicated that plaintiff
3 agreed to Dr. Shereen's referral to a psychiatrist, and that she recommended continuing
4 his prescription for Paxil for his panic disorder (id.).

5 Dr. Shereen also specifically evaluated plaintiff for pain and anxiety on September
6 24, 2007 (Tr. 365-66). On this occasion, Dr. Shereen performed a physical examination
7 (Tr. 365), as well as a psychiatric examination (Tr. 366). Regarding her psychiatric
8 evaluation, Dr. Shereen observed that plaintiff had "flattened affect," was agitated and
9 exhibited pressured speech (id.). She assessed that plaintiff was experiencing an
10 exacerbation of his anxiety disorder and adjusted his prescription medication, restarting
11 him on Paroxetine (i.e., Paxil) (id.). Dr. Shereen also emphasized to plaintiff the
12 importance of receiving a psychiatric consultation (id.).

14 On October 16, 2007, Dr. Shereen examined plaintiff and observed that plaintiff
15 was "extremely agitated" (Tr. 285). She also indicated her observations that plaintiff was
16 "clearly anxious, unable to stop fidgeting," and that he left the room abruptly (id.). Dr.
17 Shereen indicated her assessment that there was an "evident psychiatric illness" (id.). Dr.
18 Shereen opined that plaintiff suffered from the highest level of degree of interference
19 with his ability to perform basic work-related activities, rating the severity at 5/5 for the
20 degree of interference from his anxiety disorder (Tr. 286). Through this rating of 5/5, Dr.
21 Shereen indicated that plaintiff suffered from an "inability to perform one or more basic
22 work activities," such as sitting, standing, walking, lifting, handling, carrying, seeing,
23 hearing, communicating and understanding or following directions (id.). When asked to
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1 indicate plaintiff's overall work level, Dr. Shereen opined that plaintiff was "severely
2 limited due to psychiatric illness" (id.).

3 From the written decision, it appears that the ALJ was under the mistaken
4 impression that Dr. Shereen only evaluated plaintiff on that one occasion (see id.). The
5 ALJ included the following discussion in his written decision:

6 I do not find these comments to be persuasive. While Dr. Shereen may
7 have observed mental symptoms, the doctor did not perform a mental
8 health evaluation. Moreover, such statements are inconsistent with the
9 claimant's daily functioning and other reported activities, including
attending support group meetings regularly and medical appointments.
Therefore, less weight is accorded.

10 (Tr. 24).

11 The Court notes that the ALJ failed to credit fully Dr. Shereen's opinions in part as
12 he found that Dr. Shereen "did not perform a mental health evaluation" (id.). This finding
13 is not supported by substantial evidence in the record. First, as discussed, the Court has
14 noted more than one occasion on which Dr. Shereen noted plaintiff's mental health
15 symptoms and assessed his mental health. Although "anyone can have a conversation
16 with a patient, [] appropriate knowledge, vocabulary and skills can elevate the clinician's
17 'conversation' to a 'mental status examination.'" Paula T. Trzepacz and Robert W.
18 Baker, *The Psychiatric Mental Status Examination* 3 (Oxford University Press 1993). In
19 addition, on September 24, 2007, Dr. Shereen explicitly conducted a psychiatric
20 examination, noting plaintiff's flattened affect; lack of suicidal ideation; agitation; and,
21 pressured speech (Tr. 366). Dr. Shereen also prescribed, or adjusted the prescriptions for,
22 plaintiff in order to help him with his mental health issues, something unlikely to be done
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1 multiple times by a licensed medical doctor without first performing a mental health
2 evaluation (Tr. 364, 366, 368). The finding by the ALJ that Dr. Shereen “did not perform
3 a mental health evaluation” does not provide support for the ALJ’s failure to credit fully
4 Dr. Shereen’s opinions.

5 The ALJ also failed to credit Dr. Shereen’s opinions fully as he found that a few of
6 her statements on the October 16, 2007 evaluation form were “inconsistent with the
7 claimant’s daily functioning and other reported activities, including attending support
8 group meetings regularly and medical appointments” (Tr. 24). The Court notes that the
9 fact that Dr. Shereen opined that plaintiff demonstrated psychiatric symptoms that
10 impaired his ability to interact in groups does not mean that she opined that it was
11 impossible for him to attend his group meetings and medical appointments. Plaintiff may
12 have suffered from an impaired ability to interact in the group, despite his presence at the
13 group meeting. Even if plaintiff was able to go to the meetings and interact with the
14 group, this fact still would not mean that plaintiff did not demonstrate psychiatric
15 symptoms that impaired his ability to do so. Therefore, the ALJ’s finding of an
16 inconsistency between plaintiff’s attendance at group meetings and Dr. Shereen’s opinion
17 that he demonstrated psychiatric symptoms that impaired his ability to interact in groups
18 does not provide much support for his failure to credit fully Dr. Shereen’s opinions. Any
19 reliance on unspecified “other reported activities” provides little support for the ALJ’s
20 evaluation of Dr. Shereen’s opinions as the reasons provided to discount her opinions at
21 least must be specific. See Lester, supra, 81 F.3d at 830-31.
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1 Plaintiff also contends that the ALJ erroneously referred to Dr. Shereen as an
2 examining physician and contends that the ALJ therefore did not afford her opinions the
3 appropriate weight (see Tr. 24). For example, more weight generally is given to a treating
4 medical source's opinion than to the opinions of those who do not treat the claimant. See
5 Lester, supra, 81 F.3d at 830. As the ALJ did not consider Dr. Shereen to be a treating
6 physician, it is likely that the ALJ did not weigh Dr. Shereen's opinion on this basis.
7 Similarly, a medical opinion from a treating physician "as to the nature and severity of an
8 individual's impairment must be given controlling weight if that opinion is well-
9 supported and not inconsistent with the other substantial evidence in the case record." See
10 Edlund, supra, 2001 Cal. Daily Op. Srv. 6849, 2001 U.S. App. LEXIS 17960 at *14; see
11 also SSR 96-2p, 1996 SSR LEXIS 9; 20 C.F.R. § 416.902. There is no evidence that the
12 ALJ considered whether or not to give Dr. Shereen's opinion controlling weight and there
13 is affirmative evidence that he failed to do so, as he referred to Dr. Shereen as an
14 "examining physician" (see Tr. 24).

16 Defendant argues that Dr. Shereen didn't provide treatment for plaintiff, but only
17 referred plaintiff to a psychiatric provider. However, the Court already has discussed the
18 prescribing of psychotropic medication to plaintiff by Dr. Shereen; therefore, she treated
19 plaintiff (see Tr. 366, 368; see also Tr. 364).

21 Defendant also argues that the ALJ correctly considered Dr. Shereen's opinion as
22 one from an examining physician because Dr. Shereen only "met with plaintiff four times
23 before he presented for an examination in support of paperwork for his application for
24 state benefits" (Response, ECF No. 17, p. 8 (*citing* Tr. 364)). First, the Court notes that

1 the ALJ did not rely on the number of examinations of plaintiff by Dr. Shereen. See Bray,
2 supra, 554 F.3d at 1226-27 (“[l]ong-standing principles of administrative law require us
3 to review the ALJ’s decision based on the reasoning and actual findings offered by the
4 ALJ - - not *post hoc* rationalizations that attempt to intuit what the adjudicator may have
5 been thinking.”); see also SEC, supra, 332 U.S. at 196. In fact, the ALJ failed to discuss
6 any of the examinations of plaintiff by Dr. Shereen that occurred prior to his presentation
7 seeking paperwork. Second, even if Dr. Shereen had only examined plaintiff four times
8 and even if the ALJ had relied on this factor explicitly when finding that Dr. Shereen was
9 not a treating physician, there is no rule that a physician must evaluate a patient more
10 than a few times in order to be considered a treating source, although the duration of the
11 relationship and the frequency of examinations are relevant. See 20 C.F.R. § 404.1502
12 (the Social Security Administration “may consider an acceptable medical source who has
13 treated or evaluated [a claimant] only a few times or only after long intervals to be [the
14 claimant’s] treating source if the nature or frequency of the treatment or evaluation is
15 typical for [the claimant’s] condition(s)”).

17 According to a relevant federal regulation, a treating physician is one “who
18 provides [a claimant], or has provided [a claimant], with medical treatment or evaluation
19 and who has, or has had, an ongoing treatment relationship with [the claimant].” 20
20 C.F.R. § 416.902. Dr. Shereen evaluated plaintiff and provided plaintiff with
21 prescriptions for medications for mental health symptoms, thereby providing plaintiff
22 with medical treatment. See id. It also appears from a review of the record that she had an
23 ongoing treatment relationship with plaintiff. See id. For example, the Court notes that
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1 Dr. Shereen explicitly indicated on October 16, 2007 that she would be “providing
2 ongoing care” for plaintiff (Tr. 287).

3 Therefore, the Court concludes that the ALJ failed to evaluate properly the
4 opinions of Dr. Shereen as a treating physician. In addition, the reasons offered by the
5 ALJ provide little support for his failure to credit fully Dr. Shereen’s opinions. As Dr.
6 Shereen’s opinions suggest greater limitation on plaintiff’s ability to work than found by
7 the ALJ, the errors in the ALJ’s evaluation of Dr. Shereen’s opinions were not harmless.
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9 b. State agency medical consultants, Dr. Gerald L. Peterson, Ph.D. (“Dr.
10 Peterson”) and Dr. Sean Mee, Ph.D. (“Dr. Mee”)

11 Regarding state agency medical consultants, the ALJ is “required to consider as
12 opinion evidence” their findings, and also is “required to explain in his decision the
13 weight given to such opinions.” Sawyer v. Astrue, 303 Fed. Appx. 453, 455, 2008 U.S.
14 App. LEXIS 27247 at **3 (9th Cir. 2008) (citations omitted) (unpublished opinion).
15 However, even where the ALJ fails to discuss the state agency consultants’ opinions, the
16 decision may be upheld where “the ALJ’s ultimate conclusion was supported by
17 substantial evidence”. Davis v. Barnhart, 71 Fed. Appx. 664, 667, 2003 U.S. App. LEXIS
18 15469 at **5 (9th Cir. 2003) (unpublished opinion).

19 Plaintiff challenges the ALJ’s evaluation of the opinions of non-examining state
20 agency psychologists Drs. Peterson and Mee. Defendant responds, in part, with
21 justifications that were not offered by the ALJ, and hence, were not relied on by the ALJ.
22 See Bray, supra, 554 F.3d at 1226-27 (“[l]ong-standing principles of administrative law
23 require us to review the ALJ’s decision based on the reasoning and actual findings
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1 offered by the ALJ - - not *post hoc* rationalizations that attempt to intuit what the
2 adjudicator may have been thinking.”); see also SEC, supra, 332 U.S. at 196.

3 Plaintiff’s main argument regarding the opinions of Drs. Peterson and Mee is that
4 the ALJ gave their opinions “great weight,” yet failed to account for their opinion that
5 plaintiff could understand and recall one-to-two step instructions only, and that he likely
6 would be “more inconsistent in recalling more complex instructions” (Tr. 23, 55-56, 70,
7 74, 450, 590). Defendant does not dispute that the residual functional capacity
8 determination by the ALJ failed to contain explicitly a restriction to only one-to-two step
9 instructions, but argues that the ALJ “included such restriction in the residual functional
10 capacity by finding Plaintiff was limited to simple tasks” (Response, ECF No. 17, p. 4).
11 According to defendant, a restriction to one-to-two step instructions was interchangeable
12 with a restriction to perform simple tasks (see id., p. 6). Defendant does not provide any
13 legal authority for this contention.
14

15 First, the Court notes that the ALJ did not indicate that he considered a restriction
16 to one-to-two step instructions to be interchangeable with a restriction to performing
17 simple tasks. See Bray, supra, 554 F.3d at 1226-27 (“[l]ong-standing principles of
18 administrative law require us to review the ALJ’s decision based on the reasoning and
19 actual findings offered by the ALJ - - not *post hoc* rationalizations that attempt to intuit
20 what the adjudicator may have been thinking.”); see also SEC, supra, 332 U.S. at 196. In
21 addition, Dr. Peterson indicated no such opinion (see Tr. 450).
22

23 Plaintiff replies to defendant’s argument by noting that plaintiff was found capable
24 of performing work as a fast food worker, assembler, and garment worker, yet such jobs

1 appear to require understanding and recalling more than two-step instructions (Tr. 25-26;
2 see also Reply, ECF No. 18, pp. 3-4 (*citing* Dictionary of Occupational Titles (“DOT”)
3 No. 311.472-010)). For the reasons stated and based on the relevant record, the Court
4 concludes that following remand of this matter, the ALJ should address explicitly the
5 restriction to one-to-two step instructions, as opined by Drs. Peterson and Mee.

6 2. Plaintiff’s sleep apnea and the ALJ’s Step Five findings should be evaluated
7 anew following remand of this matter.
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9 The ALJ’s review of plaintiff’s sleep apnea included the following: “There is also
10 very brief mention of sleep apnea (internal citation to Exhibit 25F). Given the meager
11 development of this condition, it is found to be non-severe” (Tr. 18). However, the ALJ
12 noted plaintiff’s testimony that he felt tired during the day and that he napped 1 to 2
13 hours during the day (Tr. 20). The ALJ found that plaintiff suffered from moderate
14 difficulties in his abilities regarding concentration, persistence and pace, but does not
15 appear to have accounted for plaintiff’s alleged need for naps during the day in his
16 residual functional capacity determination or the hypothetical situations presented to the
17 vocational expert (Tr. 19).

18 The Court already has concluded that this matter should be reversed and remanded
19 due to the ALJ’s errors in his review of the medical evidence, see supra, section 1. For
20 this reason and based on the relevant record, the Court concludes that plaintiff’s sleep
21 apnea should be evaluated further following remand of this matter.
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23 Similarly, the ALJ’s Step Five finding regarding work that plaintiff could perform
24 should be evaluated again, as it was based on an improper review of the medical evidence

1 and a potentially flawed residual functional capacity determination and resultant
2 hypothetical. Following remand of this matter, plaintiff should be afforded a *de novo*
3 hearing and should be able to present new arguments and evidence, as relevant to the
4 appropriate period of time.

5 CONCLUSION

6 The ALJ failed to consider properly the issue of whether or not Dr. Shereen was a
7 treating physician and also failed to provide even specific and legitimate reasons to
8 discount her opinions.

9
10 Based on these reasons and the relevant record, the undersigned recommends that
11 this matter be **REVERSED** and **REMANDED** to the Commissioner for further
12 consideration pursuant to sentence four of 42 U.S.C. § 405(g). **JUDGMENT** should be
13 for **PLAINTIFF** and the case should be closed.

14 Pursuant to 28 U.S.C. § 636(b)(1) and Fed. R. Civ. P. 72(b), the parties shall have
15 fourteen (14) days from service of this Report to file written objections. See also Fed. R.
16 Civ. P. 6. Failure to file objections will result in a waiver of those objections for
17 purposes of de novo review by the district judge. See 28 U.S.C. § 636(b)(1)(C).
18 Accommodating the time limit imposed by Rule 72(b), the clerk is directed to set the
19 matter for consideration on April 6, 2012, as noted in the caption.

20 Dated this 16th day of March, 2012.

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23 J. Richard Creatura
24 United States Magistrate Judge